

The
LungAmbition
Alliance



INITIATIVES IN LUNG CANCER CARE

ILC²

THE LUNG AMBITION ALLIANCE GLOBAL GRANTS PROGRAM

2019/20

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OVERVIEW

Initiatives in Lung Cancer Care (ILC²) is an exciting Lung Ambition Alliance program that aims to support patient and caregiver non-profit organizations. It seeks to deliver initiatives that will improve the quality of care and close gaps in the patient experience of lung cancer care around the world. ILC² is looking to fund innovative, promising, and proven projects around the world that can be supported and scaled to transform patient identification, quality of care, and patient outcomes. It is deliberately ambitious, and we intend to learn throughout the program about what works in achieving our goal.

The program has been created in recognition of the high variation in lung cancer care management around the world, and the very specific local barriers to quality care that must be considered when developing patient-centric solutions to address them. The projects supported by the fund will help change the pace of progress in lung cancer survival, contributing to the goals of the Lung Ambition Alliance to improve five-year survival rates and eliminate lung cancer as a leading cause of death.

ILC² grant applications will be reviewed and selected by the four founding partners of the Lung Ambition Alliance; the Global Lung Cancer Coalition, Guardant Health, the International Association for the Study of Lung Cancer and AstraZeneca. Funding for the ILC² grant is provided by AstraZeneca.



We aim to:

- Fund projects that drive measurable improvements across the patient journey, from early detection to palliative care or survivorship, in alignment with the Lung Ambition Alliance's third strategic pillar: to Enhance the Quality of Care
- Have a positive impact on the quality of life of patients and caregivers involved in the projects
- Learn about what really works and build the evidence base

We hope to generate:

- Case studies and other evidence of promising approaches
- Opportunities for future scaling and investment
- A greater supply of effective interventions to improve access to screening, cutting-edge care and survivorship

To achieve this, we are seeking grant applications for projects that:

- Are ambitious and aligned with the Lung Ambition Alliance's objectives
- Focus on quality of care for patients at risk of, or living with, lung cancer and their caregivers
- Involve patients or focus on the experience and needs of patients or caregivers
- Have an evidence base, or are innovative and have an intention to build the evidence base, with potential for scaling or replication
- Show potential to leverage future funding or influence the care system to improve outcomes for patients
- Go beyond prevention to support patients at different stages after first diagnosis
- Cover a diverse range of geographic locations and address disparities in diagnosis and treatment that will improve outcomes for patients



HOW TO APPLY

Who can apply and what is the process?

Applications
must be submitted by
—
January 31,
2020

The fund is open to charitable and registered non-profit organizations involved in the care of patients with lung cancer.

Applications must be submitted by January 31, 2020, via the online portal, located on the Lung Ambition Alliance website [here](#).

Further details about the application process and lifecycle can be found under the section *Application lifecycle* on page 7.

Priority will be given to projects that:

- Align to Lung Ambition Alliance objectives (find out more at [LungAmbitionAlliance.com](https://www.lungambitionalliance.com))
- Are well thought through, with realistic and measurable outcomes
- Demonstrate a high potential for impact
- Move from ideas into action, or build on existing success
- Are innovative
- Have the potential to scale up or influence policy
- Work with partners to share learning and increase impact
- Are in low- and middle-income countries

Funding support cannot be given to:

- Individuals, health care providers, medical group practices, public officials
- Organizations that:
 - Discriminate on the basis of age, race, color, religion, national origin, gender, sexual orientation, marital status, military service, veteran status, disability or other unlawful basis
 - Promote political or religious viewpoints to their beneficiaries
 - Use the funds to make grants or donations to other organizations or individuals
 - Are unable to supply bank details or report back on outcomes
 - Are non-charitable
- Initiatives already funded through other AstraZeneca or Guardant Health programs (such as Young Health Program)
- Projects that provide treatment or pay for medicines
- Projects that benefit AstraZeneca's or Guardant Health's commercial business
- Applications over \$100,000
- Retrospective funding
- Capital projects or building appeals
- Any other activities prohibited under local standards

How much can you apply for?

ILC² offers three categories of funding, which vary according to the size of the applicant organization, the starting point for your project,

and the scale of reach and impact that you hope to achieve. These are:

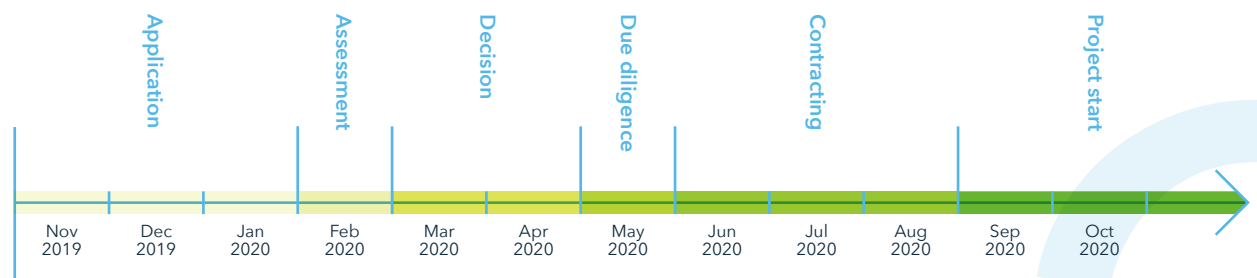


Please note, the level of impact and financial reporting will vary across the categories.

Category 3 projects will have a higher level of due diligence and reporting.

APPLICATION LIFECYCLE

We have set out below the timeline we plan to work to. Decisions and awards could change based on the volume and quality of applications.



How will we decide which projects to fund?

We will fund inspiring projects that show potential to meet our program aims and outcomes. To achieve this we have a decision-making process which builds in expertise in grant-making and lung cancer care.

Shortlisted applications will be reviewed by the Lung Ambition Alliance panel, who will decide which projects to fund. They will assess each section of the application form against the criteria below. The table below provides an overview of how each application will be evaluated and the weighting that will be applied.

Successful applicants will then be contacted by Charities Aid Foundation (CAF) to undergo a

due diligence check to ensure the organizations have a charitable purpose and the funds can be legally transferred for that purpose.

Typical documents required for due diligence checks include: annual accounts, governance documents, personal details of your organization’s directors and financial controllers, a certificate of registration as a not-for-profit organization, and proof of your bank details.

Please make sure before you apply that you can provide these. In addition, there is a short Grant Eligibility Application (GEA) form to complete. All documents will be required in English. See Frequently Asked Questions (FAQs) if you require help with translation.

Criterion	Category 1 grants	Category 2 and 3 grants
Diverse range of projects (categories/locations)	Decisions will be made to achieve a balanced portfolio	
Impact of the project	40%	40%
Potential to grow and scale up	30%	20%
Potential for influencing policy	5%	10%
Clarity of measurement and outcomes	20%	20%
Quality of partnerships	5%	10%

What happens once a project is approved?

If the due diligence check is positive, your organization will be offered a grant and will be offered support and advice. The funding will

be transferred and monitoring and reporting requirements will be agreed to as part of a signed grant agreement.

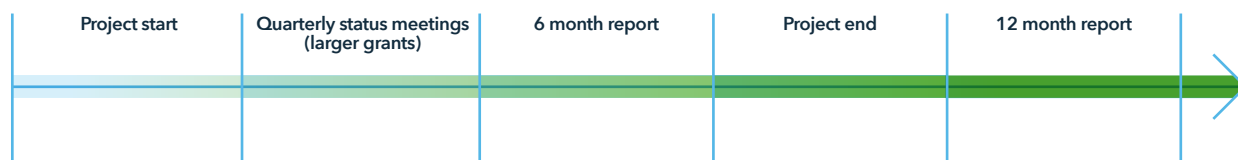
What happens if an organization is not funded?

We anticipate that we will not be able to award funding to all organizations that apply. If this is the case, you will receive a response

from us and, where possible, we will offer feedback.

THE GRANT LIFECYCLE

We expect to follow the milestones below for the lifecycle of each grant.



Grantees will be required to submit a progress report during the lifetime of the project. You may also be required to take part in quarterly status meetings with the ILC² program administrator.

When your project is complete, we will ask you to complete a final report and presentation outlining how funds have been spent, what you have achieved and the difference the

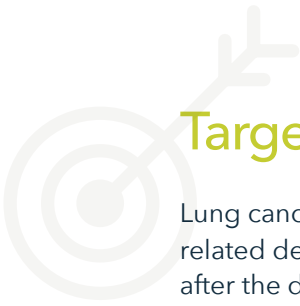
funding has made. We may also contact you after the end of the project to determine the longer-term impact of the project. Further independent financial reporting will be required for Category 3 grants.

Please note that the Lung Ambition Alliance will publish information about the awarded grants on external websites. We may contact you to develop a case study.



WHAT WE WOULD LIKE TO SEE IN YOUR PROJECT

This section gives more background information on the kinds of things we would like to see in projects.



Target health outcomes

Lung cancer is the leading cause of cancer-related death.¹ 40% of patients are diagnosed after the disease has spread beyond the lung.² Only one in five patients is alive five years after initial diagnosis.³

There remains considerable variation in the management of lung cancer around the world. Access for patients to important diagnostics, treatments and support programs varies markedly.

We are looking for innovative and proven ideas to improve the availability of quality care, and the patient outcomes and experience, in your local area.

Applications need to show a clear link between their project and the overarching aims of the ILC² program.



Types of projects

We are interested in receiving applications for the following types of projects:

- Projects mostly aimed at **supporting** patients and/or caregivers
- Projects mostly aimed at **advocating** for patients and/or caregivers
- Projects with both support and advocacy components



The patient experience

We are interested in receiving applications that can address arising barriers and difficulties across the whole patient journey:

- From detection of early symptoms to diagnosis of the disease
- From diagnosis through the whole experience of treatment and care
- Across the impact of the disease on the family, social and professional life



Social innovation

We expect that innovation and fresh thinking will be required to meet the scale of the challenge we face to reduce the variations in lung cancer care globally. We are therefore interested in applications for projects proposing new models and concepts that can be scaled up to deliver major change.

Some of these may be early-stage projects for the smaller grants. You can read more about the kinds of innovation-focused activities we are looking to fund, including further information on theory of change, in the [NESTA innovation toolkit](#).

1. World Health Organization. International Agency for Research on Cancer. Fact Sheet - World. Available at <http://gco.iarc.fr/today/data/factsheets/populations/900-world-fact-sheets.pdf>. Accessed October 2019.

2. EpiCast Report: NSCLC Epidemiology Forecast to 2025. GlobalData. 2016.

3. Cancer.Net. Lung Cancer - Non-Small Cell - Statistics. Available at www.cancer.net/cancer-types/lung-cancer-non-small-cell/statistics. Accessed October 2019



NON-FINANCIAL SUPPORT

We understand the importance of supporting grantees to succeed with their projects. ILC² intends to offer non-financial support alongside the financial commitment given to successful projects.

This wider support aims to nurture a community of innovators to share learnings that help build capacity and skills in project delivery. We will identify potential for tailored support to accelerate learning, innovation and social change across similar types of projects.

In the first year of the program, ILC² wants to shape this support around the needs of applicants.

Therefore, as part of the application we will ask you what non-financial support you would find useful and look to build this support into the program.

Examples could include:

- Peer-to-peer learning
- Mentoring
- Advice on scaling/capacity building (e.g., marketing, business readiness)
- Case studies
- Networking and educational events (e.g., webinars, newsletters, “how to” sessions)
- Guidance on effective management tools (e.g., impact measurement, program management)
- Other non-financial support as appropriate



COMPLETING YOUR APPLICATION



The online portal

To access the ILC² application form on the online portal for the first time, please click [here](#).

You will be taken to a website hosted by CAF's carefully selected partner, Blackbaud Grant Making.

Following registration, you will need to complete a short series of questions to ensure you are eligible to apply. This is designed to save you time and avoid poorly targeted applications.

The application form can be saved and returned to on an ongoing basis. You do not need to complete it in one sitting. To return to your saved application form, you should use this general link to the [online portal](#).

The form **must be submitted by the deadline of January 31, 2020 (or earlier)** in order to be considered for funding.

The application form asks you for organizational, financial, and governance information and details of the project that you are seeking funding for. The form structure is the same for organizations, but more in-depth project and budget information is requested for Category 3.

If you choose to submit your application, you will be asked to upload 3 documents that provide information about your organization. These must be provided in English and are:

- Your governing document (see FAQ number 18)
- Your registration certificate as a not-for-profit organization
- Your most recent signed annual accounts

See our FAQs for further information on the documents required. If you would like further advice on this, please contact us via LungAmbitionAllianceLCC@cafonline.org.

Before submitting, you will be asked to agree to the privacy statement, giving consent for data to be shared with our partners for the purposes of the grant program.



FAQS

1 Do I need to be a non-governmental organization (NGO), non-profit or registered charity?

You can be an NGO, non-profit, registered charity, or an organization with a social mission that operates on a non-profit basis. Applications will be considered from any organization whose mission is exclusively charitable.

Please ensure that you have uploaded signed copies of the required documents (listed under section 5, *Completing your application*, above) to enable us to be sure of your charitable purpose. These must be submitted as part of the application process.

2 Can a group of organizations apply?

Yes. However, one organization must take responsibility for completing the application, managing the funds, and reporting on impact as lead partner. The applicant organization cannot provide sub-grants to the other organizations in the consortium. There should be a written Memorandum of Understanding between the organizations.

3 Can I apply as an individual or do I need an organization?

You need to apply through an organization. We will not provide funding to individuals.

4 Can I submit a project that has a reach and impact beyond lung cancer (a pan-cancer approach)?

We are open to consider projects with a pan-cancer approach, but we will give priority to projects that have addressed the specific needs of lung cancer patients.

5 I've already received funding from another AstraZeneca or Guardant Health fund. Can I still apply?

Yes; however, this fund is set up to support additional opportunities in the form of new projects. AstraZeneca or Guardant Health funding should not already be supporting the initiative for which you are applying.

6 Can you help me complete my application? Can you help with translation into English?

We will be able to answer queries related to the program, the completion of your online application form, and submission requirements. We may in some circumstances be able to offer help with translation through the program partners. Please contact: LungAmbitionAllianceLLCC@cafonline.org.

7 Can I apply for costs that have already taken place?

No, expenditure must take place after the grant is awarded.

8 How much funding can I apply for, and in which currency?

Grants will be awarded up to the appropriate level for each category in US dollars. Please note that grant decisions will be based on the merits of all applications received, as well as the total funds available. Part of the assessment will focus on the transparency and breakdown of project costs, and partial funding may be awarded in some cases. Please request an appropriate level of funding according to your project needs, in line with one of the three categories available, and include a breakdown of your planned project costs.

You must request funding in US dollars. It is then possible to request payment in your local currency; however this will be calculated using the exchange rate from USD on the date of payment.

To counter potential currency fluctuation, please incorporate any anticipated currency fluctuation risk (which can be up to 10% of the total budget if needed) as a line in your budget breakdown, along with an explanation as to why currency fluctuation would negatively affect the final amount received.

9 What is the Lung Ambition Alliance?

The Lung Ambition Alliance is made up of four distinct organizations (Global Lung Cancer Coalition, International Association for the Study of Lung Cancer, Guardant Health and AstraZeneca), all with extensive and complementary experience in helping patients and health care professionals manage lung cancer. They stand together to better understand how the disease evolves, develop advanced therapeutic techniques, and ultimately empower and enable patients to live longer and better lives. You can read more about the Lung Ambition Alliance [here](#).

10 What is this organization, CAF, that is associated with this project? Who are they and what is their role?

Charities Aid Foundation is our partner providing the online platform and grant making services. You can read more about CAF [here](#).

11 Can I send in supporting information? Photos, videos, etc.?

Yes please. We are interested in supporting video and photos, which you can upload as part of the application form.

12 For Category 3 requests, the application form asks me to upload a one-page work plan. What should I include in my work plan?

We would expect your work plan to include key milestones and objectives, activities planned, outputs, and start and end dates. This information will be used to help us assess the effectiveness of your planned activities and grant request.

13 Are there any other funding criteria I should be aware of within my application?

The grant request in most cases should not exceed 10% of the applicant organization’s annual revenue as stated in your annual accounts. However, we may fund some projects where funding is above this level. If you are requesting funding in excess of 10%, please provide information on your ability to manage this funding.

Multiple applications are allowed from the same organization, but the combined sum of grants requested should not exceed 10% of the applicant organization’s annual revenue. Please provide a justification if the combined grant requests exceed 10% of the organization’s annual revenue.

14 How long should my project last?

Projects must start by August 2020 and be undertaken and completed within 12 months (for category 1 and 2 projects) and within 24 months (for category 3 projects only).

15 Is the deadline final?

Yes, please make sure you submit your application by midnight (in your local time zone) on January 31, 2020.

16 When will I be notified of the outcome of my application?

Applicants can expect to receive notification of the outcome of the decision of the Lung Ambition Alliance panel between March and April 2020. Timing will depend on the volume of applications received. This will be followed by the due diligence process (see *Application lifecycle* on page 7).

17 I have been unsuccessful. Can I re-apply for a later grant cycle?

Yes, you can re-apply in a future grant cycle. Timescales for this will be announced in 2020.

18 What documents will I need to provide if I am successful?

Successful applicant organizations will be checked to ensure they have a charitable purpose as part of the due diligence process. Typical documents required for due diligence checks include annual accounts, governance documents, personal details of your organization's directors and financial controllers, a certificate of registration as a not-for-profit organization, and proof of your bank account. In addition, there is a short Grant Eligibility Application (GEA) form to complete. All documents will be required in English. We will outline the specific requirements for successful applicants following the decision-making panel.

19 What is a governing document?

This is likely to vary by country. Your governing document is the document that sets out the rules of your organization. It could be your constitution, articles of association, bylaws, statutes, trust deed, articles of incorporation, or other legal document, explaining the purpose of your organization and how it works. It must include a charitable dissolution clause (i.e. what would happen to your assets if your organization closes down).

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